



## **COUNTY OF KERN**

# **Kern Behavioral Health & Recovery Services**

**REQUEST FOR PROPOSAL  
TO PROVIDE COMPREHENSIVE SOFTWARE  
SOLUTIONS FOR DOCUMENT SCANNING,  
ELECTRONIC DOCUMENT MANAGEMENT,  
AND CONTRACT LIFE CYCLE MANAGEMENT**

**DUE . . . . . JUNE 8, 2026**

**TIME . . . . . Before 4:00 p.m.**

**COUNTY OF KERN**

**KERN BEHAVIORAL HEALTH & RECOVERY SERVICES**

**Request for Proposal to Provide: Comprehensive Software Solutions for Document Scanning, Electronic Document Management, and Contract Lifecycle Management**

The County of Kern is seeking qualified proposers to develop/provide **Comprehensive Software Solutions for Document Scanning, Electronic Document Management, and Contract Lifecycle Management in Bakersfield, CA**. Proposers are specifically directed not to contact any County personnel, other than the Contact Person indicated below, for any purpose related to this RFP. **Unauthorized contact of any County personnel may be cause for rejection of a vendor’s proposal**. All inquiries concerning this RFP should be directed to the following Contact Person:

**Kern Behavioral Health and Recovery Services**  
**Gail Eckels**  
**2001 28<sup>th</sup> Street**  
**Bakersfield, CA 93301**  
**Telephone (661) 868-6706**  
[GEckels@kernbhhs.org](mailto:GEckels@kernbhhs.org)

Envelopes containing the Proposals are to be marked:

PROPOSAL: **“Document Management and Scanning Solutions”**

**Projected Timetable**

The following dates are set forth for information and planning purposes only. These dates may be changed by County upon notice to prospective proposers:

Issuance Date . . . . . **April 28, 2026**  
Pre-Proposal Meeting . . . . . **May 14, 2026**  
Proposal Due Date . . . . . **June 8, 2026**  
Proposal Due Time . . . . . **Before 4:00 p.m.**

Postmark date will not constitute timely delivery. Responses received after the above time **will not** be considered. Proposers are solely responsible for ensuring timely receipt of their Proposals. If hand delivery is planned to our offices, please be aware that delays through building security protocol should be planned for by the proposer since timely receipt of all Proposals is required.

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## I. GENERAL INFORMATION

### A. Project Background

Kern County spans 8,161 square miles in the San Joaquin Valley of California. The County is divided into eleven (11) Geographic Service Areas for serving individuals who need mental health care. The Kern Behavioral Health and Recovery Services (KernBHRS) administration office is located in Bakersfield, the county seat, in the southern region of the San Joaquin Valley.

The Department operates under the directorship of Ms. Alison Burrowes, MA., LCSW and is governed by the five (5) members of the Kern County Board of Supervisors (BOS). The Department strives to promote its mission statement, "Working together to achieve hope, healing, and a meaningful life in the community".

Kern Behavioral Health and Recovery Services is requesting proposals from qualified vendors for a combined scope of work that includes large-scale document scanning services for legacy paper records and the implementation of a complete electronic solution that provides document scanning functionality, an enterprise document management platform, and contract lifecycle management capabilities. The project is expected to commence in fiscal year 2026-2027.

### B. Services Required of Successful Proposer

The County has developed the attached **Exhibit A, Description and Standards of Services** which fully describes the scope of work and services required; deliverables; benchmark requirements; and our anticipated timeline for the start and completion of this project.

Proposer will be expected to review the Exhibit to understand the expected outcome, what the desired goals and objectives are, what specific problems and challenges need to be solved in order to achieve the required end result.

### C. Services Provided by the County

The County will provide a Contact Person as a primary contact, who will arrange for staff assistance by other County staff as may be required. County will also provide whatever information as may be available. County will also be available to meet and discuss project requirements and development at key times in the process.

### D. Selection Process

1. **All Proposals received by the specified deadline will be reviewed by a County Evaluation Committee.** After the initial scoring, the Evaluation Committee will select those firms deemed most qualified for this project for further evaluation. A Presentation by these selected firms will be conducted as part of the final selection process. Presentations will be held on **July 10, 2026**. All vendors will be notified in advance. Proposers are advised that the County, at its option, may award a contract strictly on the basis of the initial Proposals, and not create a short list of Proposals for further consideration. The firm selected by the Evaluation Committee will be recommended to the Board of Supervisors for this project, but the Board is not bound to accept the recommendation or award the project to the recommended firm.

2. If one or more of the proposers is a local vendor as defined herein, said proposer(s) shall be entitled to a local vendor preference as herein described, provided: (i) said proposer(s) achieved a score of at least seventy percent (70%) during the initial scoring phase by the Evaluation Committee; and (ii) they were included in the short list of proposers for further consideration by the Evaluation Committee.

All local vendors meeting the above stated criteria shall have their final evaluation score increased by five percent (5%) for purposes of determining the Evaluation Committee's final selection for recommendation to the Board of Supervisors.

**A local vendor is defined as a proposer who:**

- (a) Has had a fixed office or distribution point located in and having a street address within the county for at least six (6) months immediately prior to the issuance of the request for competitive bids by the purchasing agent
- (b) Holds any required business license by the county or a city within the county; and
- (c) Employs at least one (1) full-time or two (2) part-time employees whose primary residence is located within Kern County, or if the business has no employees shall be at least fifty percent (50%) owned by one or more persons whose primary residence is located within Kern County.

All local vendors with a Local Employee Ratio of 50% or higher will receive an additional 2% score increase, and those with a ratio of 100% will receive a 3% increase to their score. (Rev 11/19)

- (d) Will credit all sales taxes generated pursuant to the contract awarded as a result of the application of this local vendor preference to its business location in Kern County.

This local vendor preference shall not apply to any contracts funded in whole or in part with federal or state funds which do not allow the use of local preferences, or any other contracts which are statutorily or otherwise precluded from the use of local preferences during the selection process.

At-Risk Employer Preference

Per County Ordinance 2.38.132, the At-Risk Employer preference will be implemented. This ordinance provides a preference to local vendors who are also at-risk employers. If there is a tie for the low bid and both bidders are local vendors but one of the bidders is also an at-risk employer, the contract shall be awarded to the low bidder that is also the at-risk employer. In the event local vendors are allowed to submit a new bid equal to or less than the out of county low bidder, and there is a tie for the low bid and one of the responsible low bidders is also an at-risk employer, the local vendor who is also an at-risk employer will be awarded the contract

To qualify as an "At-Risk Employer," Vendor shall state below that you have provided gainful employment to "at-risk" individuals residing in Kern County for at least one (1) year prior to submitting this bid; and you continue to provide gainful employment to 'at-risk individuals. "At-Risk Individuals" are defined in County Ordinance 2.38.132 as those individuals who have been incarcerated within the

last five (5) years and/or have been convicted of a misdemeanor or felony within the last five (5) years and/or are youth in foster care.

Vendor has employed at least one (1) at-risk individual residing in the County of Kern for at least one year prior to submitting a bid for this project and continues to provide gainful employment to at-risk individuals residing in the County of Kern.

*(state qualifying information with returned RFP response).*

3. The following is a list of general criteria that will be used by the Evaluation Committee in determining its recommendation to the Board of Supervisors. (Please note that the Evaluation Committee may consider other additional information they deem relevant in determining a recommendation to the Board of Supervisors and may give each of the criteria considered as little or as much weight as they consider appropriate.)
  - (a) Proposer's understanding of the RFP requirements and end result.
    - i. Does proposal show comprehension of the scope of services and match Exhibit A requirements?
    - ii. Does proposal address all requested objectives & deliverables?
    - iii. Does proposal offer specific solutions that address problems & our desired objectives?
  - (b) Proposer's proposed approach to tasks.
    - i. Does the approach show innovative or advanced techniques?
    - ii. Does the approach make sense for this project?
    - iii. Does the proposal clearly define deliverables? Are they measurable and realistic?
    - iv. Are there any apparent discrepancies or omissions in proposal?
    - v. Is the proposed transition or milestone implementation plans feasible?
  - (c) Proposer's experience in similar projects.
    - i. Does proposer have a proven track record with similar projects?
    - ii. Has proposer completed relevant or similar projects? What was the length of time performing services for these relevant projects?
    - iii. What is the overall exposure/experience of the proposer with government sector projects?
    - iv. Does proposal provide types, number & duration of current and previous contracts?
  - (d) Fee OR proposed rates.
    - i. Has proposer revealed and described all costs? Are there any hidden costs?
    - ii. How does the proposer implement cost control techniques? Are there any escalation clauses included?
    - iii. Does proposer list prior contracts that were conducted on time and within budget?
    - iv. Does proposal state length of time for firm pricing?

- (e) Estimated completion date(s) or required start date.
  - i. Does proposal describe transition start up tasks & time and/or milestone steps to negotiate contract, set up staffing/equipment requirements and begin services?
  - ii. Does proposal address any time frames mandated by law?
  - iii. Does proposal address the length of time to complete one-time services?
  - iv. Does proposal describe in detail each project phase and the time needed for completion?
  - v. Does the proposal benchmark critical events in the completion of the project?
  
- (f) Client references.
  - i. Are proposer's referenced projects similar in size & scope?
  - ii. Do references report any negative aspects with their experience with proposer?
  - iii. Do references report proposer's capabilities in problem solving during project?
  - iv. Do references indicate successful billing/invoicing processes?
  - v. How did the reference award previous business to the proposer?
  
- (g) Qualifications of proposer's staff for the project.
  - i. Does proposer offer a combination of experience, education, licensing, certification & background undertaking with similar projects relevant to our needs?
  - ii. Is the technical experience of proposer's personnel specific to the needs detailed in Exhibit A?
  - iii. Does proposer's response address productivity and utilization of staff/management assignments?
  
- (h) Any other factors the Evaluation Committee deems relevant, for example:
  - i. Does proposal offer technology advances included in work approach?
  - ii. How feasible is the transition plan/milestone steps of proposer's plan?
  - iii. Other
  
- 4. The County reserves the right to reject any and all Proposals and to waive informalities and irregularities in any Proposals received. Absence of required information may render a Proposal non-responsive, is the sole discretion of the County, resulting in rejection of the Proposal.
  
- 5. The County may, during the evaluation process, request from any proposer additional information which the County deems necessary to determine the proposer's ability to perform the required services. If such information is requested, the Proposer shall be permitted five (5) working days to submit the information requested.
  
- 6. An error in the Proposal may cause the rejection of that Proposal; however, the County may, in its sole discretion, retain the Proposal and make any corrections it deems appropriate. In determining if a correction will be made, the County will consider the conformance of the Proposal to the format and content required by the RFP, and any unusual complexity of the format and content required by the RFP. If the proposer's intent is clearly established based on review of the complete Proposal

submittal, the County may, at its sole option, correct an error based on that established content. The County may also correct obvious clerical errors. The County may also request clarification from a proposer on any item in a Proposal that County believes to be in error and make corrections accordingly.

7. The County reserves the right to select the Proposal which in its sole judgment best meets the needs of the County. The recommendation by the Evaluation Committee, and the final selection of a proposer by the Board of Supervisors, shall be based on any information and criteria the Evaluation Committee and Board consider relevant, which may include criteria not listed in paragraph 2 above. **The lowest proposed cost is *not* the sole criterion for recommending contract award.**
8. All proposers responding to this RFP will be notified of their selection or non-selection in writing.
  - a. **All proposers shall have seven (7) calendar days from the date of the written notice to submit any additional information not previously submitted to the County representative for final consideration.**
  - b. **Proposers may request a debrief during the same seven (7) day time period. No extension will be given.**
9. The County representative will notify the proposers in writing of the date the Department's recommendation is placed on the Board of Supervisors' agenda.
10. County employees will not participate in the selection process when those employees have a relationship with a person or business entity submitting a Proposal which would subject those employees to the prohibition of Section 87100 of the Government Code. Any person or business entity submitting a Proposal who has such a relationship with a County employee who may be involved in the selection process shall advise the County of the name of the County employee in the Proposal.
11. Any person or business entity which engages in practices which might result in unlawful activity relating to the selection process including, but not limited to, kickbacks or other unlawful consideration paid to County employees, will be disqualified from the selection process.
12. The process, procedures and evaluation criteria used by County in developing and issuing this RFP and evaluating the Proposals received for purposes of making a recommendation to the Board of Supervisors shall be determined in the sole discretion of the County. Potential proposers shall have no rights whatsoever regarding the processes and procedures used by the County relating to this RFP or the manner in which a proposer is selected by the Board of Supervisors, provided their decisions are not arbitrary and capricious, and there is some reasonable basis for the selection(s) made.

#### **E. Solicitation Caveat**

The issuance of this solicitation does not constitute an award commitment on the part of the County, and the County shall not pay for costs incurred in the preparation or submission of Proposals. **The County reserves the right to reject any or all Proposals**

**or portions thereof if the County determines that it is in the best interest of the County to do so.**

Failure to furnish all information requested or to follow the format requested herein, or the submission of false information, may disqualify the proposer, in the sole discretion of the County. The County may waive **any** deviation in a Proposal. The County's waiver of a deviation shall in no way modify the RFP requirements nor excuse the successful proposer from full compliance with any resultant agreement requirements or obligations.

**F. Time**

Time and the time limits stated in this RFP are of the essence of this Request for Proposal.

**G. Standard County Master Terms & Conditions**

No agreement with the County is in effect until a contract has been signed by both parties. **Attached to this RFP as Exhibit "B" is the standard County Master Terms & Conditions** which are in substantially the form the successful proposer will be expected to sign. The final agreement may include the contents of the RFP, any addenda to this RFP, portions of the successful proposer's Proposal and any other modifications determined by the County to be necessary prior to its execution by the parties.

Until such time as the Evaluation Committee has completed its deliberative process and the matter has been set for consideration before the Board of Supervisors, the agreement and all documents and materials relating thereto, the negotiation and execution thereof, including, without limitation, the existence of the Agreement and the negotiations taking place between the parties, shall be confidential.

The standard County master terms and conditions included in this RFP is for informational purposes and should not be returned with a Proposal; however, the Proposal shall include a statement that the proposer has reviewed the standard County master terms and conditions and either:

- i) will agree to and accept the master terms and conditions contained therein if selected, or
- ii) indicate those specific provisions of the standard County master terms and conditions to which the proposer takes exception and why. Raising of significant exceptions in a Proposal, as determined in the sole discretion of the County, may be cause for rejection of the Consultant's Proposal.

The selected Consultant will be required to execute an agreement with the County for the services requested **within 30 calendar days** of the award. If agreement on the terms and conditions of the contract that are acceptable to the County including, but not limited to, compensation, cannot be achieved within that timeframe, the County reserves the right to continue negotiations or to award the bid to another Consultant and begin negotiations with that Consultant.

Consultant must identify and provide contact information in their Proposal of the individual within their organization who is authorized to negotiate the terms and conditions of any agreement between Consultant and County.

## H. Insurance Requirements

Vendor, in order to protect County and its board members, officials, agents, officers, and employees against all claims and liability for death, injury, loss and damage as a result of Vendor's actions in connection with the performance of Vendor's obligations, as required in this Agreement, shall secure and maintain insurance as described below.

Vendor shall not perform any work under this Agreement until Vendor has obtained all insurance required under this section and the required certificates of insurance and all required endorsements have been filed with the County's authorized insurance representative.

Receipt of evidence of insurance that does not comply with all applicable insurance requirements shall not constitute a waiver of the insurance requirements set forth herein. The required documents must be signed by the authorized representative of the insurance company shown on the certificate. Upon request, Vendor shall supply proof that such person is an authorized representative thereof, and is authorized to bind the named underwriter(s) and their company to the coverage, limits and termination provisions shown thereon.

The Vendor shall promptly deliver to the County's authorized insurance representative a certificate of insurance, and all required endorsements, with respect to each renewal policy, as necessary to demonstrate the maintenance of the required insurance coverage for the term specified herein. Such certificates and endorsements shall be delivered to the County's authorized insurance representative prior to the expiration date of any policy and bear a notation evidencing payment of the premium thereof if so requested. Vendor shall immediately pay any deductibles and self-insured retentions under all required insurance policies upon the submission of any claim by Vendor or County as an additional insured.

- a. Workers' Compensation and Employers Liability Insurance Requirement:**  
In the event Vendor has employees who may perform any services pursuant to this Agreement, Vendor shall submit written proof that Vendor is insured against liability for workers' compensation in accordance with the provisions of section 3700 of the California Labor Code.

Vendor shall require any sub-contractors to provide workers' compensation for all of the subcontractors' employees, unless the sub-contractors' employees are covered by the insurance afforded by Vendor. If any class of employees engaged in work or services performed under this Agreement is not covered by California Labor Code section 3700, Vendor shall provide and/or require each sub-contractor to provide adequate insurance for the coverage of employees not otherwise covered.

Vendor shall also maintain employer's liability insurance with limits of **one million dollars (\$1,000,000) for bodily injury or disease.**

- b. Liability Insurance Requirements:**

- (1) Vendor shall maintain in full force and effect, at all times during the term of this Agreement, the following insurance:

- (a) Commercial General Liability Insurance including, but not limited to, Contractual Liability Insurance (specifically concerning the indemnity provisions of this Agreement with the County), Products-Completed Operations Hazard, Personal Injury (including bodily injury and death), and Property Damage for liability arising out of Vendor's performance of work under this Agreement. The Commercial General Liability insurance shall contain no exclusions or limitation for independent contractors working on the behalf of the named insured. Vendor shall maintain the Products-Completed Operations Hazard coverage for the longest period allowed by law following termination of this Agreement. The amount of said insurance coverage required by this Agreement shall be the policy limits, which shall be at least **one million dollars (\$1,000,000) each occurrence and two million dollars (\$2,000,000) aggregate**.
  - (b) Automobile Liability Insurance against claims of Personal Injury (including bodily injury and death) and Property Damage covering any vehicle and/or all owned, leased, hired and non-owned vehicles used in the performance of services pursuant to this Agreement with coverage equal to the policy limits, which shall be at least **one million dollars (\$1,000,000) each occurrence**.
  - (c) Professional Liability (Errors and Omissions) Insurance, for liability arising out of, or in connection with, the performance of all required services under this Agreement, with coverage equal to the policy limits, which shall not be less than **one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate**.
- (2) The Commercial General Liability and Automobile liability Insurance required in this sub-paragraph b. **shall include an endorsement naming the County and County's board members, officials, officers, agents and employees as additional insureds for liability arising out of this Agreement and any operations related thereto**. Said endorsement shall be provided using one of the following three options: (i) on ISO form CG 20 10 11 85; or (ii) on ISO form CG 20 37 10 01 plus either ISO form CG 20 10 10 01 or CG 20 33 10 01; or (iii) on such other forms which provide coverage at least equal to or better than form CG 20 10 11 85.
  - (3) Any self-insured retentions in excess of \$100,000 must be declared on the Certificate of Insurance or other documentation provided to County and must be approved by the County Risk Manager.
  - (4) If any of the insurance coverages required under this Agreement is written on a claims-made basis, Vendor, at Vendor's option, shall either (i) maintain said coverage for at least three (3) years following the termination of this Agreement with coverage extending back to the effective date of this Agreement; (ii) purchase an extended reporting period of not less than three (3) years following the termination of this Agreement; or (iii) acquire a full prior acts provision on any renewal or replacement policy.
- c. Cancellation of Insurance -- The above stated insurance coverages required to be maintained by Vendor shall be maintained until the completion of all of Vendor's obligations under this Agreement except as otherwise indicated herein. Each insurance

policy supplied by the Vendor shall not be suspended, voided, cancelled or reduced in coverage or in limits except after ten (10) days written notice by Vendor in the case of non-payment of premiums, or thirty (30) days written notice in all other cases. This notice requirement does not waive the insurance requirements stated herein. Vendor shall immediately obtain replacement coverage for any insurance policy that is terminated, canceled, non-renewed, or whose policy limits have been exhausted or upon insolvency of the insurer that issued the policy.

- d. All insurance shall be issued by a company or companies admitted to do business in California and listed in the current "Best's Key Rating Guide" publication with a minimum rating of A-; VII. Any exception to these requirements must be approved by the County Risk Manager.
- e. If Vendor is, or becomes during the term of this Agreement, self-insured or a member of a self-insurance pool, Vendor shall provide coverage equivalent to the insurance coverages and endorsements required above. The County will not accept such coverage unless the County determines, in its sole discretion and by written acceptance, that the coverage proposed to be provided by Vendor is equivalent to the above-required coverages.
- f. All insurance afforded by Vendor pursuant to this Agreement shall be primary to and not contributing to all insurance or self-insurance maintained by the County. An endorsement shall be provided on all policies, except professional liability/errors and omissions, which shall waive any right of recovery (waiver of subrogation) against the County. A waiver of right of recovery (waiver of subrogation) is only required on Workers' Compensation policies when a Vendor's personnel deliver or perform services for the County while on County property.
- g. Insurance coverages in the minimum amounts set forth herein shall not be construed to relieve Vendor for any liability, whether within, outside, or in excess of such coverage, and regardless of solvency or insolvency of the insurer that issues the coverage; nor shall it preclude the County from taking such other actions as are available to it under any other provision of this Agreement or otherwise in law.
- h. Failure by Vendor to maintain all such insurance in effect at all times required by this Agreement shall be a material breach of this Agreement by Vendor. County, at its sole option, may terminate this Agreement and obtain damages from Vendor resulting from said breach. Alternatively, County may purchase such required insurance coverage, and without further notice to Vendor, County shall deduct from sums due to Vendor any premiums and associated costs advanced or paid by County for such insurance. If the balance of monies obligated to Vendor pursuant to this Agreement are insufficient to reimburse County for the premiums and any associated costs, Vendor agrees to reimburse County for the premiums and pay for all costs associated with the purchase of said insurance. Any failure by County to take this alternative action shall not relieve Vendor of its obligation to obtain and maintain the insurance coverages required by this Agreement.

**I. Modifications to Scope of Work**

In the event that sufficient funds do not become available to complete all the services identified in this RFP, the scope of services may be amended, as determined in the sole discretion of the County. The County may also, from time-to-time, request changes in and/or additions to the services to be provided by the successful proposer. Such

changes, including any increase or decrease in compensation, which are mutually agreed upon by and between the County and the successful proposer, shall be incorporated into the contract prior to execution of the contract, and by written amendments thereto after execution.

**J. News Releases**

News releases pertaining to any award resulting from this RFP may not be made without prior written approval of the **Director of Kern Behavioral Health & Recovery Services**.

**K. Compensation**

Compensation shall be agreed upon by County and Vendor to be included in the final agreement for services.

**L. Statutes and Rules**

The terms and conditions of this RFP, and the resulting consulting services and activities performed by the successful proposer, shall conform to all applicable statutes, rules and regulations of the federal government, the State of California and the County of Kern.

**M. Background Review**

The County reserves the right to conduct a background inquiry of each proposer that may include collection of appropriate criminal history information, contractual and business associations and practices, employment histories, reputation in the business community and financial condition. By submitting a Proposal to the County the proposer consents to such an inquiry and agrees to make available to the County such books and records the County deems necessary to conduct the review.

**N. Organizational Conflict of Interest**

Contractor warrants, to the best of its knowledge, that neither Contractor nor its officers, agents or employees presently has any consulting or contractual arrangement with any firm or organization that would give rise to an organizational conflict of interest with respect to the work to be performed under this Agreement. Neither Contractor nor its officers, agents or employees shall enter into any contractual arrangement that would give rise to any potential conflict of interest, without first obtaining County's prior written approval before entering the agreement. If any organizational conflict of interest is discovered by Contractor relating to this Agreement, Contractor shall immediately notify County, and attempt to present a suitable mitigation plan. County may, at its sole discretion, terminate this agreement in the event that Contractor has any actual or potential organizational conflict of interest. As used in this paragraph, "**Organization conflict of interest**" means any relationship whereby Contractor has present or planned interests related to the work to be performed under this Agreement which: (1) May diminish its capacity to give impartial, technically sound, objective assistance and advice or may otherwise result in a biased work product, or (2) may result in its being given an unfair advantage.

## II. PROPOSAL INFORMATION AND REQUIREMENTS

### A. General Instructions

To receive consideration, Proposals shall be made in accordance with the following general instructions:

1. The completed Proposal shall be without alterations or erasures. Errors may be crossed out and corrections printed in ink or typed adjacent, and must be initialed in ink by an authorized representative of the proposer.
2. No oral, telephonic, telegraphic, e-mailed or faxed Proposals will be considered.
3. The submission of a Proposal shall be an indication that the proposer has investigated and satisfied him/herself as to the selection process to be used by the County, the conditions to be encountered, the character, quality and scope of the work to be performed, and the requirements of the County.
4. **All Proposals shall remain firm for one hundred and eighty (180) days from the Proposal submission deadline.**

### B. Business Address

**Proposers shall furnish their business street address.** Any communications directed either to the address so given, or to the address listed on the sealed Proposal container and deposited in the U.S. Postal Service by Certified Mail, shall constitute a legal service thereof upon the proposer.

### C. Corrections and Addenda

If a proposer discovers any ambiguity, conflict, discrepancy, omission, or other error in this RFP, the proposer shall immediately notify the Contact Person of such error in writing and request clarification or modification of the document. Modifications will be made by addenda as indicated below to all parties in receipt of this RFP.

If a proposer fails to notify the Contact Person prior to the date fixed for submission of Proposals of a known error in the RFP, or an error that reasonably should have been known, the proposer shall submit a Proposal at their own risk, and if the proposer is awarded a contract they shall not be entitled to additional compensation or time by reason of the error or its subsequent correction.

Addenda issued by the County interpreting or changing any of the items in this RFP, including all modifications thereof, shall be incorporated in the Proposal. The proposer shall sign and date the Addenda Cover Sheet and submit same with the Proposal (or deliver them to **Gail Eckels, Kern Behavioral Health & Recovery Services, 2001 28<sup>th</sup> Street, Bakersfield, CA 93301**, if the proposer has previously submitted a Proposal to the department).

**Any oral communication by the County's designated Contact Person or any other County staff member concerning this RFP is not binding on the County and shall in no way modify this RFP or the obligations of the County or any proposers.**

## **D. Proposal Format and Contents**

For ease of review and to facilitate evaluation, the Proposals for this project should be **organized and presented in the order requested as follows:**

### **1. Cover Page:**

Include a letter of introduction signed by an authorized representative of the firm containing the following language:

- The undersigned certifies that all statements in the Proposal are true and correct; and that any material false statement contained in this proposal shall entitle Kern County to pursue any and all remedies authorized by law and/or declare any contract made as a result thereof, to be void.
- Indicate the name of the firm and the RFP project title clearly on your cover sheet.

### **2. Corporate/Agency Profile:**

Provide specific information concerning the firm in this section, including all of the following:

- The legal name, address and telephone number of your company.
- The type of entity (sole proprietorship, partnership, or corporation and whether public or private).
- Whether you are a local Kern County vendor as defined in section I.D.2. of this RFP (provide the street address of the local office).
- The name and telephone number of the person(s) in your company authorized to execute the proposed contract.

If two or more firms are involved in a joint venture or association, the Proposal(s) should clearly delineate the respective areas of authority and responsibility of each party.

All parties signing the Agreement with the County shall be individually liable for the completion of the entire project even when the areas of responsibility under the terms of the joint venture or association are limited.

### **3. Qualifications and Experience:**

This section is designed to establish the proposer as an entity with the ability and experience to operate the program, or provide the services specified in the RFP.

Provide specific information in this section concerning the firm's experience and qualifications (skill sets, contractor licensing, certifications etc.) in the services specified in this RFP, preferably within the State of California. Include all of the following:

- The number of employees involved in providing services
- Number of years providing services
- Financial statements (balance sheet and Dun & Bradstreet credit rating acceptable)

- Examples of completed projects
- Skill Sets
- Contractor licensing, if applicable
- Certifications, if applicable

### **Documentation of Satisfactory Past Performance/References**

**Provide a minimum of three (3) reference letters** for similar services rendered (must be within the last twelve (12) months on the reference company's letterhead. Each reference shall include a current point of contact and a phone number. Each reference letter must have all of the following information:

- Date of the original contract;
- End date of the contract;
- Services rendered;
- Names, addresses, email and telephone numbers of contact persons within client agencies for whom the services have been provided.

**Provide a list of all clients** with current contact information including email, to which you have provided similar services over the last two years, but are not currently working for. Please indicate why you are not currently providing services to said client(s).

### **3. Credentials/Resumes:**

Of critical importance is the composition of the team proposed to provide services on this project. Credentials and resumes of the person(s) responsible for administering or providing the services must be provided.

Include a statement of qualifications and resumes/backgrounds of key personnel assigned to the project, including training certifications of professional and non-professional personnel.

Proposer shall specifically provide the following information on all management, supervisory and other key employees who will be providing service:

- a. Name, business address and phone number
- b. Description of education
- c. General experience
- d. Experience or education related to the RFP project
- e. Letters of reference, if available

List consultant firms, if any, that you plan to use for this project and their relevant experience.

### **4. Project Approach, Work Schedule, Transition Plan and Technology Requirements:**

- a. Provide a detailed description of the methodology proposed to perform all required services.

- b. The project approach should include specific details with regard to how and what services, training, installation, etc. are included in your response to the County. Provide specific information and details.
- c. Describe approach and methodology that will be used to address obstructions, constraints or roadblocks in the submitted proposal.
- d. List and describe actions that will be done in order to comply and meet required benchmarks, performance standards and quality assurance.
- e. Detail and describe security clearance and information technology requirements.
- f. If applicable, detailed description of proposed utilization of Business and/or Work Environment provided by County.
- g. Include any additional information and options that you feel may be advantageous to the County. Label options clearly and specify all costs and fees associated with each option.
- h. Include specific details with regard to a work schedule/transition plan which contains an aggressive schedule **that will complete, or start up, the project before August 31, 2026**. This schedule should contain specific milestones and dates of completion which will be used to set schedules.
- i. Also identify the extent of County personnel involvement deemed necessary, including key decision points at each stage of the project.
- j. Identify deliverables that will be produced in order to receive payment. This may include deliverables with milestone dates or time periods that are required to be completed.
- k. Specify all software and computer technology that is anticipated to be used in rendering the services. If the Proposal includes the purchase of any software by the County, provide a copy of any software license agreements that the County would be required to execute.
- l. Describe how each of the County's desired outcomes will be met.
- m. Do not include brochures and advertisements in your Proposal unless the content they provide is identified and included specifically in your description of the methodology and/or approach to the services you are proposing to provide the County.

## 5. Cost of Service:

The Proposal shall clearly state all of the costs associated with the project, broken down by category of products and services, and all on-going costs for recommended/required products/services such as maintenance.

As a general rule, the County prefers a set price or hourly rate for the entire term of any contract. Price escalators such as the CPI may also have a detrimental impact on the proposer's score determined by the Evaluation Committee and are disfavored by Kern County.

The project costs should include all expenses that will be charged to the County including but not limited to costs for shipping, insurance, communications, documentation reproduction, travel, taxes, etc. **Failure to not clearly identify all costs associated with the Proposal may be cause for rejection of the Consultant's Proposal.**

**6. Insurance:**

The selected proposer will be required to obtain, as a condition of the award of a contract, and the Proposal shall state that the proposer will obtain the insurance as required in the attached agreement.

All insurance shall be issued consistent with the final Agreement with County. Insurance coverage at a minimum must be provided by a company or companies listed in the current "Best's Key Rating Guide" publication with a minimum of A-, VII rating; or in special circumstances, as pre-approved by the Risk Management Division of the Office of County Counsel. The selected proposer shall file with the Contact Person a Certificate(s) of Insurance stating the required coverages are in effect.

**7. Additional Information:**

Include any other information you believe to be pertinent but not required.

**8. Confidential Information:**

Proposers are cautioned that because the County is a public entity, materials designated as "confidential" may nevertheless be subject to disclosure. Proposers are advised that the County does not wish to receive confidential or proprietary information and that proposers are not to supply such information except when it is absolutely necessary.

**IF CONFIDENTIAL INFORMATION IS SUBMITTED:**

- a. ALL CONFIDENTIAL INFORMATION MUST BE STAMPED WITH A "CONFIDENTIAL" WATERMARK AND PLACED IN A SEPARATE TABBED SECTION #9 OF THE RFP MARKED "CONFIDENTIAL".
- b. Any documents labeled "CONFIDENTIAL" shall include the following statement signed and placed on the first page of the CONFIDENTIAL material:

"\_\_\_\_\_ (legal name of proposer) shall indemnify, defend and hold harmless the County of Kern, its officers, agents and employees from and against any request, action or proceeding of any nature and any damages or liability of any nature, specifically including attorneys' fees awarded under the California Public Records Act (Government Code §6250 et seq.) arising out of, concerning or in any way involving any materials or information in this Proposal that (legal name of proposer) has labeled as confidential, proprietary or otherwise not subject to disclosure as a public record."

By:\_\_\_\_\_ Date:\_\_\_\_\_

Confidential information as discussed in this section II.D.9 may include:

### **Technical Information**

- a. Any trade secret, know-how, invention, software program, application, documentation, schematic, procedure, contract, information, knowledge, data, process, technique, design, drawing, program, formula or test data, work in progress, engineering, manufacturing, marketing, financial, sales, supplier, customer, employee, investor, or business information;
- b. Any non-public business information, including, without limitation, personnel data; correspondence with governmental agencies; historical customer information and data; historical cost information such as budgets and operating expenses and capital costs; and projected capital additions and operating cost information;

### **Financial Information**

- a. Financial statements, business plans, strategic plans, proprietary market information, analyses, compilations and any other strategic, competitively sensitive or proprietary information shared between the parties as a result of the discussions contemplated by this Agreement;

### **Business Development-Related Information**

- a. All trade secrets or proprietary information protected as intellectual property that relates to the business of the Vendor and is not generally available to the public, or generally known in the industry;
- b. Customers' identities and requirements, customer lists, suppliers' identities and products, pricing information, product price discount information, manufacturing processes and procedures, new product research, financial information not generally available to the public; and
- c. Any techniques, know-how, processes or combinations thereof, or compilations of information, records and specifications, utilized or owned by the vendor regarding business development, marketing, pricing, business methods, strategies, financial or other analyses, policies or business opportunities.

## **E. Disposition of Proposals and Proprietary Data**

All materials submitted in response to this RFP become the property of the County. Any and all Proposals received by the County shall be subject to public disclosure and inspection, except to the extent the proposer designates trade secrets or other proprietary data to be confidential, after the Evaluation Committee has completed its deliberative process and either the proposer has been informed that they are not the vendor selected by the Evaluation Committee for recommendation to the Board of Supervisors, or the matter has been set for consideration before the Board of Supervisors, whichever comes first.

Material designated as proprietary or confidential shall accompany the Proposal and each page shall be clearly marked and readily separable from the Proposal in order to facilitate public inspection of the non-confidential portion of the Proposal. Prices, makes and

models or catalog numbers of the items offered, deliverables, and terms of payment shall be publicly available regardless of any designation to the contrary. The County will endeavor to restrict distribution of material designated as confidential or proprietary to only those individuals involved in the review and analysis of the Proposals.

## **F. Post RFP Issuance**

### **1. Questions**

- a. **Before pre-proposal meeting: Questions may be submitted by email to Gail Eckels at [GEckels@kernbhhs.org](mailto:GEckels@kernbhhs.org). No phone calls please, only written responses will be accepted.**
- b. **After pre-proposal meeting: Following the pre-proposal meeting, an addendum will be issued with written responses to those questions where the answers may change the scope of services detailed in Exhibit "A." Questions with content about the RFP process, where to mail response or other information not related to Exhibit "A" may be answered by the Project Facilitator as they are received.**
- c. **Subsequent to addenda: Questions received subsequent to the issuance of addenda and within the last week prior to the due date and time may be answered. The County will accommodate these last-minute questions, but will not guarantee that they will be answered if not submitted timely.**

### **2. Pre-Proposal Meeting**

A Pre-Proposal meeting has been set for **May 14, 2026, at 1:00 p.m.** The meeting will be held via Microsoft Teams Meeting. **All interested parties who may have questions or wish to participate in the pre-proposal meeting must email their contact information to [GEckels@kernbhhs.org](mailto:GEckels@kernbhhs.org). The contact information must include:**

- **Organization name**
- **Name of the individual attending**
- **Phone number of the individual attending**
- **Email address of the individual attending**

### **3. Purpose Of Pre-Proposal Meeting**

**The purpose of the conference is to permit proposers an opportunity to ask questions and/or provide feedback to County staff on specifics of this RFP.**

Preliminary answers may be given at the Pre-Proposal meeting. However, these responses are only preliminary and **will not be final until they are provided as an addendum to the RFP.**

While some input obtained at the meeting may be incorporated into the RFP via addenda, **remarks and explanations made at the meeting shall not change the provisions of the final RFP.** All interested parties who may have questions are urged to attend.

## G. Proposal Submission

The proposer shall **submit one (1) written copy of the Proposal and one (1) copy on thumb drive**. The thumb drive (virus free) must be a standard Microsoft Windows (Word, Adobe, Excel etc.) compatible format readable by the County; using word processing software that is Windows based, preferably Microsoft Word. Proposer agrees to be fully responsible for any damage caused by any materials submitted to County. Please submit all Proposals to:

Kern County General Services Division  
REQUEST FOR PROPOSAL FOR:  
**DOCUMENT MANAGEMENT AND SCANNING SOLUTIONS**  
1115 Truxtun Ave., 3<sup>rd</sup> Floor  
Bakersfield, CA 93301  
Telephone (661) 868-3000

Proposals may be delivered in person, by courier service or by mail to the address indicated above. **ALL PROPOSALS MUST BE SEALED AND RECEIVED BEFORE 4:00 P.M. on June 8, 2026**, at the above office and address. Proposals submitted after the above deadline will not be accepted. It is strongly suggested that any proposers intending to hand deliver a proposal on the last day for submission arrive at the General Services Division third floor main lobby at least ten (10) minutes prior to the proposal receipt deadline to receive a "test" time stamp to validate the official current time. The time stamp clock in the main lobby of General Services will be the official time. Any Proposal received at or after **4:00 p.m.** will be returned unopened.

**Only one (1) Proposal may be submitted from each proposer.** For purposes of this RFP, a proposer is defined to include a parent corporation of the proposer and any other subsidiary of that parent corporation. If a proposer submits more than one (1) Proposal, all Proposals from that proposer shall be rejected.

**RFP Proposals are not publicly opened.**

## H. Withdrawal and Submission of Modified Proposal

A proposer may withdraw a Proposal at any time prior to the submission deadline by submitting a written notification of withdrawal signed by the proposer or his/her authorized agent. The proposer must, in person, retrieve the entire sealed submission package. Another Proposal may be submitted prior to the deadline. A Proposal may not be changed after the designated deadline for submission of Proposals.

**[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]**

## Exhibit A

### EXHIBIT A – DESCRIPTION AND STANDARDS OF SERVICES

#### COMPREHENSIVE SOFTWARE SOLUTIONS FOR DOCUMENT SCANNING, ELECTRONIC DOCUMENT MANAGEMENT, AND CONTRACT LIFECYCLE MANAGEMENT

##### I. DESIRED OBJECTIVE(S)

The department seeks a comprehensive solution that can manage the conversion of legacy medical records into a searchable electronic format, supporting the digitization of historical and active employee files, and enabling complete lifecycle management of contracts. The solution must also support workflows for financial operations, including invoice processing, check logging, correspondence distribution, and document storage across multiple fiscal functions. In addition, the system must meet the documentation needs of the Medical Services Division, Correctional Behavioral Health programs, and Crisis Services, along with providing storage and retrieval capabilities for administrative documents such as Freedom of Information Act requests and grant documentation.

The primary objectives of this project are to reduce physical storage costs and space requirements, improve document accessibility, strengthen audit readiness, and enhance compliance with regulatory standards. The system must streamline contract and financial approval processes while enabling scalable electronic workflows supported by automated routing and role-based access controls. The solution must also include automated alerts and notifications for missing documentation, compliance deadlines, and critical timeline management activities. Additionally, the solution will leverage API integrations to create seamless workflows between existing systems.

##### II. DESCRIPTION AND SCOPE OF WORK

###### A. Document Scanning & Digitization

The vendor must provide a comprehensive scanning and digitization service that supports closed medical records, employee records, financial documents, medical service forms, correctional behavioral health documentation, and crisis service materials.

###### 1) Closed Medical Records Requirements:

The closed medical record collection includes approximately 55,000 files containing client information, forms, progress notes, lab results, and court records. Scanning must be completed at a minimum of 300 DPI in color and black and white. Role-based electronic redaction capabilities are required, and only designated users may apply, modify, or remove redactions. Scanning solution must have metadata capture and indexing capabilities including optical character recognition. Onsite scanning services are preferred to ensure chain-of-custody control and minimize physical movement of protected health information (PHI). Vendors are encouraged to propose onsite digitization solutions whenever operationally feasible. Vendors proposing offsite digitization must provide a comprehensive HIPAA Compliance Program, including policies, procedures, and security practices relevant to the handling of PHI. Vendors must also provide documentation demonstrating how they maintain full compliance with 42 CFR Part 2, including secure transport, access limitations, confidentiality measures, breach protocols, and audit logging. Vendor shall implement procedures to ensure compliance with statutory timelines for responding to medical records requests. As State law requires Kern Behavioral Health & Recovery Services to provide copies of

medical records within fourteen (14) calendar days, the Vendor shall return all scanned closed medical record documents within seven (7) calendar days of receipt. This turnaround requirement is necessary to ensure that records are not offsite, inaccessible, or otherwise unavailable should a records request be received. The vendor must ensure that all staff assigned to this project have completed current HIPAA training and maintain compliance with all applicable privacy and security requirements; and all systems used for scanning shall be HIPAA complaint with access controls and audit trails enabled.

**2) Employee Records Requirements:**

The organization requires a comprehensive solution for managing employee records that includes the digitization and processing of approximately 140 banker boxes of historical employee files. The system must also support ongoing document management and workflows for 1,073 current employees, including performance reviews and annual updates. It should facilitate efficient workflows for new employee onboarding documents and accommodate a wide range of document types. These include employee information such as current position details, photos, emergency contact information, Social Security cards, driver licenses, and EEO forms. It must also manage notices of employment or changes in employee status, acknowledgements such as the code of ethics, dress code, sexual harassment policy, vehicle use policy, and nepotism policy, and confidential documents including direct deposit forms, W-4 forms, I-9 forms, and beneficiary information. The system should additionally handle credentials such as certificates, licenses, and transcripts, Live Scan documentation, annual packet materials such as annual updates, work schedule agreements, outside work agreements, and availability pay acknowledgements, as well as documents related to investigations. Strong privacy and confidentiality controls are required throughout the process, and the solution must be effectively integrated with the internal Human Resources Information System.

**3) Finance Division Document Management:**

The Finance Division has approximately 300 banker boxes currently stored offsite. These records consist primarily of contractor reimbursements organized by fiscal year, along with other financial documents that require strict role-based access controls to protect sensitive information. The system must also support Accounts Payable workflows, including the receipt and processing of invoices, multi-level approval routing to division administrators, automated notifications and escalation procedures, integration with existing financial systems, and a complete audit trail for every approval action. In addition, the solution must accommodate check processing and logging needs by providing check scanning and digital capture capabilities, automated creation and tracking of check logs, and efficient search and retrieval of processed checks. Correspondence management is another essential component, requiring the ability to scan mail, distribute it electronically, route it automatically based on the intended recipient or department, and provide tracking and delivery confirmation throughout the process.

**4) Medical Services Division Requirements:**

The Medical Services Division generates at least one thousand five hundred reviews annually. Required document types include authorization forms, notices of admission, narcotic logs, temperature logs, patient rights logs, referral documents, and other operational records. The vendor must support indexing by facility and by month, automated alerts for missing documentation, and compliance tracking with reporting capabilities.

**5) Correctional Behavioral Health Document Management:**

Correctional Behavioral Health requires the ability to scan and securely store penal code-based evaluations, conservatorship evaluations, psychiatric evaluations, and psychological testing documentation. The system must support sensitive legal documents that require restricted access and retention for ten years.

**6) Psychiatric Evaluation Center:**

The Psychiatric Evaluation Center documents include property sheets, skin checks, and vital signs records, and these materials must be searchable and must have secure access controls enabled for protected health information.

**B. Contract Lifecycle Management Requirements:**

The department requires a comprehensive Contract Lifecycle Management solution that can automatically generate contract templates and board letter templates while still allowing for necessary customization. The system must support simultaneous multi-user review across different stages of the contract process and provide robust work queue management for every contracting activity. A centralized repository is needed to store executed contracts and maintain historical records, and the solution must include automated renewal notifications along with full version control for all contract templates.

The requested platform should automate approval workflows using both parallel and sequential routing and track all key dates such as execution, expiration, renewal deadlines, and milestone dates. It must provide extensive reporting capabilities and analytics dashboards and support vendor and counterparty management as well as financial tracking and budget monitoring for expenditures.

**1) Advanced Alert System:**

An advanced alert system is also required. This system should identify contract stages such as drafting, review, and board-ready status, notify staff when reviews or approvals are triggered, alert users when timeframes or deadlines are missed, warn of upcoming contract renewals with configurable advance notice, issue notifications when expenditure limits are exceeded, signal when procurement processes are ready to advance, and provide alerts for agreement termination, termination execution, and the identification of contract breaches.

**2) Workflow and Routing Capabilities:**

The solution must include sophisticated workflow and routing capabilities so that contract requests can be routed automatically to all relevant internal and external parties. It must support routing for contract reviews and signatures, allow for configurable approval chains with conditional logic, and provide electronic routing and notifications across multiple divisions. Task assignment and tracking should include escalation procedures, and users must have access to collaboration tools that support real-time document editing. The system must also track amendments and modifications with complete version histories and support coordinated workflows across multiple divisions using both parallel and sequential approval processes.

**3) Reporting and Analytics:**

Reporting and analytics features must offer complete visibility into the contract lifecycle by providing reports that track the full flow of each contract. The system should allow departments to monitor their performance through lifecycle metrics, support the creation of custom reports for ad-hoc analysis, and include an executive dashboard with key performance indicators. Additional analytical capabilities should include contract volume

and processing time metrics, vendor performance and compliance reporting, financial impact assessments, budget variance reporting, and audit trail and compliance reporting.

**4) Work Queue Management:**

Work queue management features must provide a centralized queue for all contract-related tasks, with the ability to prioritize items, assign tasks to specific team members, balance workloads, and allocate resources effectively. The system should also support the tracking of performance metrics and productivity, and it must be able to distribute tasks automatically based on workload and subject-matter expertise.

**5) User Interface Requirements:**

The user interface should include a team dashboard that displays all contracts and amendments in progress along with real-time status updates. A requestor dashboard should give individuals who initiate agreements a clear view of the current status of their requests. The interface must provide role-based views tailored to each user's responsibilities and access level, and it should be intuitive enough to require minimal training.

**C. Technical Scanning Specifications**

Scanning hardware and software systems must support high-volume capabilities, automatic feeding, batch processing, metadata capture, indexing, and error detection. File format compatibility must include DOCX, PDF, TIFF, and other standard document types.

**D. Enterprise Document Management**

The organization requires an enterprise document management solution that provides a centralized repository capable of supporting role-based access controls to ensure appropriate protections for all stored information. The system must offer advanced search capabilities that include full-text search, metadata-driven queries, and Boolean search options so that staff can efficiently locate documents across all divisions. It must support version control and complete document lifecycle management, along with the ability to automate workflows and approval processes that govern how documents move through the organization. The platform must also allow for seamless electronic signatures and offer both mobile and web-based access to ensure staff can retrieve and manage information regardless of location. Because long-term use and growth are essential, the solution must be fully scalable to support future expansion of document volumes and workflows.

**1) Security & Compliance:**

Strong security and compliance measures are required. These include adherence to HIPAA and DHCS requirements, along with the ability to apply role-based redaction controls. Content that has been redacted must be permanently obscured at the data level to ensure that unauthorized users cannot retrieve information through metadata extraction or any other method. The system must also include comprehensive audit logging, user authentication and authorization tools, role-based access governance, and robust data encryption. To ensure business continuity, the platform must provide reliable backup processes and disaster recovery capabilities. The system must also support FOIA compliance and include tools for tracking and managing FOIA responses.

**2) Integration Requirements:**

Integration capabilities are critical. The solution must include comprehensive REST or SOAP APIs, support for single sign-on, and options for both real-time and batch API connectivity. It must integrate with Microsoft Office 365 applications and allow direct

interaction with Outlook for email-based document workflows. Webhook support is needed to enable automated workflows between systems. The vendor must provide complete API documentation, including code samples and software development kits, and must maintain version management practices that allow the organization to adapt to API updates without disruption. API security controls must ensure that data moving between systems always remains protected.

## **E. Ongoing Support & Maintenance Requirements**

The Vendor is expected to provide comprehensive, reliable, and timely support and maintenance services to ensure uninterrupted operations. These systems are essential to the daily work of Kern Behavioral Health and Recovery Services across multiple divisions, and because of that, it is critical that the Vendor maintain continuous performance, strong security, and high availability.

### **1) Support Services Requirements:**

Support services must include help desk assistance during County business hours, with the option to extend coverage. The Vendor should offer multiple channels for obtaining assistance, including phone, email, and an online ticketing portal. Clear response and resolution timeframes must be defined along with established escalation procedures.

### **2) System Maintenance Requirements:**

System maintenance must include the regular application of patches, fixes, and security updates. The Vendor is expected to provide advance notice of all scheduled maintenance windows and to deliver ongoing system enhancements and new features over time. Maintenance responsibilities also include ensuring continued compatibility between API versions, which requires timely updates and communication regarding any upcoming deprecations.

### **3) System Monitoring & Performance Requirements:**

The solution must be supported by strong monitoring and performance management. The Vendor must deliver proactive system health monitoring and immediate alerts when failures or performance concerns arise. Monitoring should include indexing functions, optical character recognition performance, search responsiveness, and the efficiency of workflow processes. As part of this responsibility, the Vendor should also provide routine recommendations for system performance optimization.

### **4) Security & Compliance Requirements:**

Security and compliance requirements are central to the system's operation. The Vendor must maintain compliance with HIPAA, DHCS standards, and the requirements of 42 CFR Part 2. The system must support continuous monitoring of authentication, authorization, audit logging, encryption, and redaction controls. When security incidents occur, the Vendor must provide support for incident response, including required documentation and assistance with breach notification procedures.

### **5) Backup & Disaster Requirements:**

Backup and disaster recovery expectations include managed and automated backups with daily snapshot capabilities. The Vendor must define the system's Recovery Time Objective and Recovery Point Objective and conduct periodic disaster recovery tests, supplying the County with documentation of test results.

**6) Training & Knowledge Resources Requirements:**

Training and knowledge resources must be provided on an ongoing basis. This includes regular user training related to system updates, new features, and changes to workflows. The Vendor must also make available user guides, release notes, instructional materials, and a searchable knowledge base. Annual refresher training should be provided for administrators and operational staff who play key roles in managing the system.

**7) Account Management Requirements:**

Account management requirements include the assignment of a dedicated Vendor account manager who will oversee service quality and maintain open communication with the County. The Vendor must conduct quarterly performance reviews with County stakeholders and collaborate on continuous improvement efforts involving workflows, system integrations, and performance metrics.

**F. Vendor Budget & Cost Proposal Requirements**

The Vendor should provide a comprehensive, itemized budget proposal detailing all costs associated with delivering the Scanning Solution & Services, Document Management, and Contract Lifecycle Management solutions. The County's maximum available budget for this project is \$2,000,000 over a five-year period.

The itemized budget must clearly outline all projected costs associated with the proposed solution. It should include software and licensing expenses such as annual licensing fees, user-based or volume-based licensing tiers, any applicable API access fees, and the cost of required integrations or connectors. It must also detail implementation and professional services costs, including system configuration, workflow development, data migration or import work, onsite or offsite scanning support, project management time, and all training and onboarding expenses. If hardware is required, the budget must list the cost of scanners, servers or appliances, storage devices, and any security or backup hardware. Finally, the budget must describe ongoing support and maintenance costs, including annual maintenance fees, technical support packages, and services related to system updates and patches.

**III. SECURITY REQUIREMENTS**

The following is a general outline of the Security Clearance and Information Technology Requirements necessary as part of this proposal

Protected Health Information is subject to protection under the State and federal laws, including the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the Health Information Technology for Economic and Clinical Health Act (HITECH Act).

The successful proposer will become a Business Associate of the County under the HIPAA and HITECH Act and will sign the Business Associate Agreement included in the Agreement for Professional Services.

KernBHRS is required to comply with the Information Exchange Agreement between the Social Security Administration and the California Department of Health Care Services (State Agency). Agencies contracting with the County of Kern through its KernBHRS Department are expected to adhere to the guidelines stated in the Information Exchange Agreement.

Successful proposer, in order to access Department policies and procedures, as required in this Agreement, will secure and maintain a business class high speed internet access.

Successful proposer is responsible for compliance with medical record standards as defined by county. Provider will maintain sufficient computer hardware and software to ensure that all clinical service documentation is entered into an appropriate database system in a timely manner from the date of service, according to time standards set by KernBHRS.

Successful proposer agrees to implement appropriate safeguards and maintain individually identifiable patient health information ("Protected Health Information or "PHI," including electronic PHI) as required by HIPAA. Additionally, Provider agrees to notify County of disclosures of protected health information in violation of HIPAA and this Agreement and take steps to mitigate, to the extent practicable, deleterious effects of improper use of protected health information.

**EXHIBIT B**  
**SAMPLE MASTER TERMS AND CONDITIONS**

1. Insurance

Consultant, in order to protect County and its board members, officials, agents, officers, and employees against all claims and liability for death, injury, loss and damage as a result of Consultant's actions in connection with the performance of Consultant's obligations, as required in this Agreement, shall secure and maintain insurance as described below. Consultant shall not perform any work under this Agreement until Consultant has obtained all insurance required under this section and the required certificates of insurance and all required endorsements have been filed with the County's authorized insurance representative, Insurance Tracking Services Inc. (ITS). Receipt of evidence of insurance that does not comply with all applicable insurance requirements shall not constitute a waiver of the insurance requirements set forth herein. The required documents must be signed by the authorized representative of the insurance company shown on the certificate. Upon request, Consultant shall supply proof that such person is an authorized representative thereof, and is authorized to bind the named underwriter(s) and their company to the coverage, limits and termination provisions shown thereon. The Consultant shall promptly deliver to ITS a certificate of insurance, and all required endorsements, with respect to each renewal policy, as necessary to demonstrate the maintenance of the required insurance coverage for the term specified herein. Such certificates and endorsements shall be delivered to ITS prior to the expiration date of any policy and bear a notation evidencing payment of the premium thereof if so requested. Consultant shall immediately pay any deductibles and self-insured retentions under all required insurance policies upon the submission of any claim by Consultant or County as an additional insured.

- a. Workers' Compensation and Employers Liability Insurance Requirement -- In the event Consultant has employees who may perform any services pursuant to this Agreement, Consultant shall submit written proof that Consultant is insured against liability for workers' compensation in accordance with the provisions of section 3700 of the California Labor Code.

Consultant shall require any sub-contractors to provide workers' compensation for all of the subcontractors' employees, unless the sub-contractors' employees are covered by the insurance afforded by Consultant. If any class of employees engaged in work or services performed under this Agreement is not covered by California Labor Code section 3700, Consultant shall provide and/or require each sub-contractor to provide adequate insurance for the coverage of employees not otherwise covered.

Consultant shall also maintain employer's liability insurance with limits of one million dollars (\$1,000,000) for bodily injury or disease.

- b. Liability Insurance Requirements:

- (1) Consultant shall maintain in full force and effect, at all times during the term of this Agreement, the following insurance:

- (a) Commercial General Liability Insurance including, but not limited to, Contractual Liability Insurance (specifically concerning the indemnity provisions of this Agreement with the County), Personal Injury (including bodily injury and death), and Property Damage for liability arising out of Consultant's performance of work under this Agreement. The Commercial General Liability insurance shall contain no exclusions or limitation for independent contractors working on the behalf of the named insured. The amount of said insurance coverage required by this Agreement shall be the policy limits, which shall be at least one million dollars (\$1,000,000) each occurrence and two million dollars (\$2,000,000) aggregate.
- (b) Automobile Liability Insurance against claims of Personal Injury (including bodily injury and death) and Property Damage covering any vehicle and/or all owned, leased, hired and non-owned vehicles used in the performance of services pursuant to this Agreement with coverage equal to the policy limits, which shall be at least one million dollars (\$1,000,000) each occurrence.
- (c) Professional Liability (Errors and Omissions) Insurance, for liability arising out of, or in connection with, the performance of all required services under this Agreement, with coverage equal to the policy limits, which shall not be less than one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate.
- (2) The Commercial General Liability and Automobile liability Insurance required in this sub-paragraph b. shall include an endorsement naming the County and County's board members, officials, officers, agents and employees as additional insureds for liability arising out of this Agreement and any operations related thereto. Said endorsement shall be provided using one of the following three options: (i) on ISO form CG 20 10 11 85; or (ii) on ISO form CG 20 37 10 01 plus either ISO form CG 20 10 10 01 or CG 20 33 10 01; or (iii) on such other forms which provide coverage at least equal to or better than form CG 20 10 11 85.
- (3) Any self-insured retentions in excess of \$100,000 must be declared on the Certificate of Insurance or other documentation provided to County and must be approved by the County Risk Manager.
- (4) If any of the insurance coverages required under this Agreement is written on a claims-made basis, Consultant, at Consultant's option, shall either (i) maintain said coverage for at least three (3) years following the termination of this Agreement with coverage extending back to the effective date of this Agreement; (ii) purchase an extended

reporting period of not less than three (3) years following the termination of this Agreement; or (iii) acquire a full prior acts provision on any renewal or replacement policy.

- c. Cancellation of Insurance -- The above stated insurance coverages required to be maintained by Consultant shall be maintained until the completion of all of Consultant's obligations under this Agreement except as otherwise indicated herein. Each insurance policy supplied by the Consultant shall not be suspended, voided, cancelled or reduced in coverage or in limits except after ten (10) days written notice by Consultant in the case of non-payment of premiums, or thirty (30) days written notice in all other cases. This notice requirement does not waive the insurance requirements stated herein. Consultant shall immediately obtain replacement coverage for any insurance policy that is terminated, canceled, non-renewed, or whose policy limits have been exhausted or upon insolvency of the insurer that issued the policy.
- d. All insurance shall be issued by a company or companies admitted to do business in California and listed in the current "Best's Key Rating Guide" publication with a minimum rating of A-; VII. Any exception to these requirements must be approved by the County Risk Manager.
- e. If Consultant is, or becomes during the term of this Agreement, self-insured or a member of a self-insurance pool, Consultant shall provide coverage equivalent to the insurance coverages and endorsements required above. The County will not accept such coverage unless the County determines, in its sole discretion and by written acceptance, that the coverage proposed to be provided by Consultant is equivalent to the above-required coverages.
- f. All insurance afforded by Consultant pursuant to this Agreement shall be primary to and not contributing to all insurance or self-insurance maintained by the County. An endorsement shall be provided on all policies, except professional liability/errors and omissions, which shall waive any right of recovery (waiver of subrogation) against the County.
- g. Insurance coverages in the minimum amounts set forth herein shall not be construed to relieve Consultant for any liability, whether within, outside, or in excess of such coverage, and regardless of solvency or insolvency of the insurer that issues the coverage; nor shall it preclude the County from taking such other actions as are available to it under any other provision of this Agreement or otherwise in law.
- h. Failure by Consultant to maintain all such insurance in effect at all times required by this Agreement shall be a material breach of this Agreement by Consultant. County, at its sole option, may terminate this Agreement and obtain damages from Consultant resulting from said breach. Alternatively, County may purchase such required insurance coverage, and without further notice to Consultant, County shall deduct from sums due to Consultant any premiums and associated costs advanced or paid by County for such insurance. If the balance of monies obligated to Consultant pursuant to this Agreement are insufficient to reimburse County for the premiums and any associated costs,

Consultant agrees to reimburse County for the premiums and pay for all costs associated with the purchase of said insurance. Any failure by County to take this alternative action shall not relieve Consultant of its obligation to obtain and maintain the insurance coverages required by this Agreement.

2. Indemnification

Consultant agrees to indemnify, defend and hold harmless County and County's agents, board members, elected and appointed officials and officers, employees, volunteers and authorized representatives from any and all losses, liabilities, charges, damages, claims, liens, causes of action, awards, judgments, costs, and expenses (including, but not limited to, reasonable attorneys' fees of County Counsel and counsel retained by County, expert fees, costs of staff time, and investigation costs) of whatever kind or nature, which arise out of or are in any way connected with any act or omission of Consultant or Consultant's officers, agents, employees, independent contractors, sub-contractors of any tier, or authorized representatives. Without limiting the generality of the foregoing, the same shall include bodily and personal injury or death to any person or persons; damage to any property, regardless of where located, including the property of County; and any workers' compensation claim or suit arising from or connected with any services performed pursuant to this Agreement on behalf of Consultant by any person or entity.

3. Compensation

As compensation for Consultant's satisfactory performance of services, County agrees to pay Consultant the sum of \_\_\_\_\_ dollars (\$ \_\_\_\_\_) per month. Payment for Consultant's services shall be promptly processed by County upon Consultant's presentation of claim identifying the services rendered for the period covered by the claim.

4. Term

The term of this Agreement shall be for the period commencing January 1, 2012, and terminating December 31, 2014.

5. Termination

County and Consultant agree that this Agreement shall be immediately terminable if a conflict of interest is determined to exist which would impair the effective performance of services hereunder. Otherwise, either party may terminate this Agreement by providing thirty (30) days written notice to the other party, and such termination is effective on the last day of said thirty (30) day period.

Should notice be given by either party, both parties agree to cooperate during said thirty (30) day period to act in the best interest of the County. Upon termination of this Agreement, neither party shall have any obligations or responsibilities to the other party beyond the effective date of its termination.

6. Assignment

Consultant shall not assign, sublet or transfer this Agreement, or any part hereof. Consultant shall not assign any monies due or which become due to Consultant under this Agreement without the prior express and written approval of the County.

7. Audit, Inspection and Retention of Records

Consultant agrees to maintain and make available to County accurate books and records relative to all its activities under this Agreement. Consultant shall permit County to audit, examine and make excerpts and transcripts from such records, and to conduct audits of all invoices, materials, records of personnel or other data related to all other matters covered by this Agreement. Consultant shall maintain such data and records in an accessible location and condition for a period of not less than three (3) years from the date of final payment under this Agreement, or until after the conclusion of any audit, whichever occurs last. The State of California and/or any federal agency having an interest in the subject of this Agreement shall have the same rights conferred upon County herein.

8. Authority to Bind County

It is understood that Consultant, in Consultant's performance of any and all duties under this Agreement, except as otherwise provided in this Agreement, has no authority to bind County to any agreements or undertakings.

9. Captions and Interpretation

Paragraph headings in this Agreement are used solely for convenience, and shall be wholly disregarded in the construction of this Agreement. No provision of this Agreement shall be interpreted for or against a party because that party or its legal representative drafted such provision. This Agreement is the product of negotiation and both parties are equally responsible for its authorship. Section 1654 of the California Civil Code shall not apply to the interpretation of this Agreement.

10. Choice of Law/Venue

The parties hereto agree that the provisions of this Agreement will be construed pursuant to the laws of the State of California. This Agreement has been entered into and is to be performed in the County of Kern. Accordingly, the parties agree that the venue of any action relating to this Agreement shall be in the County of Kern.

11. Compliance with Law

Consultant shall observe and comply with all applicable County, state and federal laws, ordinances, rules and regulations now in effect or hereafter enacted, each of which are hereby made a part hereof and incorporated herein by reference.

12. Confidentiality

Consultant shall not, without the written consent of County, communicate confidential information, designated in writing or identified in this Agreement as such, to any third party and shall protect such information from inadvertent disclosure to any third party in the same manner that they protect their own confidential information, unless such disclosure is required in response to a validly issued subpoena or other process of law. Upon completion of this Agreement, the provisions of this paragraph shall continue to survive.

13. Conflict of Interest

Consultant has read and is aware of the provisions of Section 1090 et seq. and Section 87100 et seq. of the Government Code relating to conflict of interest of public officers and employees. Consultant agrees that they are unaware of any financial or economic interest of any public officer or employee of the County relating to this Agreement. It is further understood and agreed that if such a financial interest does exist at the inception of this Agreement, the County may immediately terminate this Agreement by giving written notice thereof. Consultant shall comply with the requirements of Government Code section 87100 et seq. during the term of this Agreement.

14. Counterparts

This Agreement may be executed simultaneously in any number of counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

SAMPLE

15. Enforcement of Remedies

No right or remedy herein conferred on or reserved to County is exclusive of any other right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing by law or in equity or by statute or otherwise, and may be enforced concurrently or from time to time.

16. Nonwaiver

No covenant or condition of this Agreement can be waived except by the written consent of County. Forbearance or indulgence by County in any regard whatsoever shall not constitute a waiver of the covenant or condition to be performed by Consultant. County shall be entitled to invoke any remedy available to County under this Agreement or by law or in equity despite said forbearance or indulgence.

17. Representations

Consultant makes the following representations which are agreed to be material to and form a part of the inducement for this Agreement:

- a. Consultant has the expertise, support staff and facilities necessary to provide the services described in this Agreement; and

- b. Consultant does not have any actual or potential interests adverse to County nor does Consultant represent a person or firm with an interest adverse to County with reference to the subject of this Agreement; and
- c. Consultant shall diligently provide all required services in a timely and professional manner in accordance with the terms and conditions stated in this Agreement.

18. Severability

Should any part, term, portion or provision of this Agreement be decided finally to be in conflict with any law of the United States or the State of California, or otherwise be unenforceable or ineffectual, the validity of the remaining parts, terms, portions, or provisions shall be deemed severable and shall not be affected thereby, provided such remaining portions or provisions can be construed in substance to constitute the agreement which the parties intended to enter into in the first instance.

19. Signature Authority

Each party has full power and authority to enter into and perform this Agreement, and the person signing this Agreement on behalf of each party has been properly authorized and empowered to enter into this Agreement.

20. Sole Agreement

This document, including the attachments hereto, contains the entire agreement of the parties relating to the services, rights, obligations and covenants contained herein and assumed by the parties respectively. No inducements, representations or promises have been made, other than those recited in this Agreement. No oral promise, modification, change or inducement shall be effective or given any force or effect.

21. Compliance with IRCA

Consultant acknowledges that Consultant, and all subcontractors hired by Consultant to perform services under this Agreement, are aware of and understand the Immigration Reform and Control Act ("IRCA"). Consultant is and shall remain in compliance with the IRCA and shall ensure that any subcontractors hired by Consultant to perform services under this Agreement are in compliance with the IRCA. In addition, Consultant agrees to indemnify, defend and hold harmless the County, its agents, officers and employees, from any liability, damages or causes of action arising out of or relating to any claims that Consultant's employees, or the employees of any subcontractor hired by Consultant, are not authorized to work in the United States for Consultant or its subcontractor and/or any other claims based upon alleged IRCA violations committed by Consultant or Consultant's subcontractor(s).

22. No Third Party Beneficiaries

It is expressly understood and agreed that the enforcement of these terms and conditions and all rights of action relating to such enforcement, shall be strictly reserved to County and Consultant. Nothing contained in this Agreement shall give or allow any claim or right of action whatsoever by any other third person. It is the express intention of County

and Consultant that any such person or entity, other than County or Consultant, receiving services or benefits under this Agreement shall be deemed an incidental beneficiary only.

23. Amendments

This Agreement represents the full and complete understanding between the parties, and may only be modified or amended by a written agreement signed by both parties.

24. Political/Religious Activity

No person performing any service or providing any goods designated under this Contract shall participate in any political or religious activity on County time or in any manner involving the use of county property or expenditure of public funds nor conveying the implication of County endorsement or support for a candidate for local, state, or federal office.

Notwithstanding the foregoing, nothing in this Contract shall be construed to unlawfully limit an individual's Constitutional rights. Accordingly, the limitations contained in this section are for the sole purpose of preventing proselytizing and politicking while engaged in the performance of services under this Contract.

25. Communications

Communications in writing made pursuant to this Agreement shall be addressed as follows:

Consultant

County of Kern